

northern rock

Mortgage Intermediary Registration Form (Version 7)

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Fax it to us on : 0191 213 4261

You can scan the document once signed and Email to: joinersandleavers@northernrock.co.uk

Or send by post to : Intermediary Support Unit, Solar House, 7 Admiral Way, Doxford International Business Park, Sunderland, SR3 3XW

Any queries, please contact us on : 0191 522 3647

Section 1 (mandatory) : Mortgage Intermediary's Details

IMPORTANT: If you work out of a branch, it is vital that the office address stated on this Registration Form is that of your place of work, not your firm's head office.

<p>Title: (e.g. Mr / Mrs) <input style="width: 90%;" type="text"/></p> <p>Your Forename: (For correspondence) <input style="width: 90%;" type="text"/></p> <p>Your Surname: (For correspondence) <input style="width: 90%;" type="text"/></p> <p>Your Middle Names: <input style="width: 90%;" type="text"/></p> <p>Also Known as: <input style="width: 90%;" type="text"/></p> <p>Office Telephone: <input style="width: 90%;" type="text"/></p> <p>Mobile Phone: <input style="width: 90%;" type="text"/></p> <p>Fax Number: <input style="width: 90%;" type="text"/></p> <p>Have you ever been know under a different name? (e.g. changes by Marriage or Deed Pole) <input style="width: 90%;" type="text"/></p>	<p>Your Firm's FSA Registered Name: <input style="width: 90%;" type="text"/></p> <p>Trading Name: (If different to the above) <input style="width: 90%;" type="text"/></p> <p>Address Line 1: <input style="width: 90%;" type="text"/></p> <p>Address Line 2: <input style="width: 90%;" type="text"/></p> <p>Address Line 3: <input style="width: 90%;" type="text"/></p> <p>Address Line 4: <input style="width: 90%;" type="text"/></p> <p>Address Line 5: <input style="width: 90%;" type="text"/></p> <p>Post Code: <input style="width: 90%;" type="text"/></p> <p>Email Address <u>(mandatory)</u>: <input style="width: 90%;" type="text"/></p>
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PLEASE NOTE: As part of our registration process we will issue Confirmation of your registration via Email. Please provide the email address to which you wish to receive your confirmation. This email address is a mandatory field.

Section 2 (mandatory) : Consumer Credit Licence Number (CCL)

The licence must cover Category C Activity - 'Credit Brokerage', as well as being in the name of your Partnership/Limited Company, or in the case of sole traders, in your own name. If you are conducting licensable business under other trading names, these should show on the licence. It is a criminal offence to carry out any activity that requires a CCL under a name that is not on your licence.

CCL Number	<input style="width: 90%;" type="text"/>	Licence includes 'Category C - Credit Brokerage' yes or no?	<input style="width: 90%;" type="text"/>	Are you the licence holder, yes or no?	<input style="width: 90%;" type="text"/>	Does this licence cover all intermediaries associated with your firm, yes or no?	<input style="width: 90%;" type="text"/>
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Section 3 (mandatory) : Your Employment History as a Mortgage Intermediary - Since 1st November 2004

Previous Firm's Name:	Firm's FSA Number:	From (Year) :	Until (Year) :
<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>
<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>
<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>
<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>	<input style="width: 95%; height: 30px;" type="text"/>

Please continue overleaf...

Office Use Only:
Log ID

Office Use Only:
Archive Box Number

Section 4 (mandatory) : Security Question (Date of Birth)

In future, when you contact Northern Rock's Registration Team, you will be asked for your Date of Birth as a security precaution.

Day: Month: Year:

Section 5 (mandatory) : Online Services

Do you require access to any online services, yes or no?
(Northern Rock Online Services or additions will take effect 24 hours after registration)

Northern Rock Online (NRO) MTE

Section 6: (mandatory) Your Firm's Details

Complete the appropriate section below to tell us whether you are a Directly Authorised Firm (DA) or an Appointed Representative (AR).

<p>Directly Authorised:</p> <p>DA Firm's Name: <input style="width:100%" type="text"/></p> <p>DA Firm's FSA Number: <input style="width:100%" type="text"/></p> <p>Mortgage Clubs you require (please note that these Club links will also be given to every individual in your firm: <input style="width:100%" type="text"/></p>	<p>Appointed Representative:</p> <p>AR Firm's Name: <input style="width:100%" type="text"/></p> <p>AR Firm's FSA Number: <input style="width:100%" type="text"/></p> <p>Your Principal's Name: <input style="width:100%; color:blue" type="text" value="Mortgages for Business"/></p> <p>Your Principal's FSA Number: <input style="width:100%; color:blue" type="text" value="313537"/></p>
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Section 7: Bank Details - 'Directly Authorised' Firms Only (For Procuation Fee Payments):

Northern Rock requires the written signature of an FSA Approved Person within this firm to verify that the above bank details are valid and that future procuation fees will be paid to the firm, not to those of an individual mortgage advisor.

<p>Sort Code: <input style="width:20px" type="text"/> <input style="width:20px" type="text"/> - <input style="width:20px" type="text"/> <input style="width:20px" type="text"/> - <input style="width:20px" type="text"/> <input style="width:20px" type="text"/></p> <p>Bank: <input style="width:100%" type="text"/></p>	<p>Account Number: <input style="width:20px" type="text"/> <input style="width:20px" type="text"/> <input style="width:20px" type="text"/> <input style="width:20px" type="text"/> <input style="width:20px" type="text"/> <input style="width:20px" type="text"/> <input style="width:20px" type="text"/> <input style="width:20px" type="text"/> <input style="width:20px" type="text"/> <input style="width:20px" type="text"/> <input style="width:20px" type="text"/> <input style="width:20px" type="text"/></p> <p>Account Name: <input style="width:100%" type="text"/></p>
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Name of FSA Approved Person (In Block Capitals)

Your position within the firm

SIGNED (by hand - mandatory)

Section 8 (mandatory) : Mortgage Intermediary's Declaration

I confirm that the details stated on this form are accurate and I require a registration to submit mortgage business to Northern Rock.

<p>Mortgage Intermediary Name (In Block Capitals)</p> <input style="width:100%; height:80px" type="text"/>	<p>SIGNED (by hand - mandatory)</p> <input style="width:100%; height:80px" type="text"/>
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Section 9 (mandatory) : FSA Approved Person's Declaration

IMPORTANT: If your name does not appear on the FSA Register as an FSA Approved Person of your firm, the following section **must be completed and signed by an FSA Approved Person** or we cannot proceed with your registration. In some cases, the FSA may not require you to appoint an approved person, so where applicable, the form should be signed by the legal owner of the firm.

I confirm that this mortgage intermediary requires a registration to submit mortgage business to Northern Rock. As an FSA Approved Person or where applicable, legal owner of the firm, I verify that the details stated on this form are accurate and this intermediary can submit mortgage applications to Northern Rock.

<p>Name of FSA Approved Person or legal owner of the firm (In Block Capitals)</p> <input style="width:100%; height:100px" type="text"/>	<p>SIGNED (by hand - mandatory)</p> <input style="width:100%; height:100px" type="text"/>	<p>Your position within the firm</p> <input style="width:100%; height:50px" type="text"/> <p>Does your name appear on the FSA Register as an FSA Approved Person of this firm, yes or no?</p> <input style="width:100%; height:20px" type="text"/>
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www.northernrock.com

Northern Rock Plc – Registered in England under company number 3273685
Registered Office – Northern Rock House, Gosforth, Newcastle upon Tyne NE3 4PL
Northern Rock plc is authorised and regulated by the Financial Services Authority

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